

# Modele de classification de profils (PCM) océaniques: application aux données Argo

Ifremer/LOPS:

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*5eme reunion annuelle Projet NAOS  
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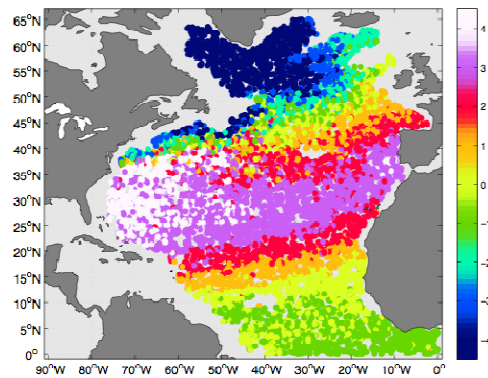


# Problematic 1/2

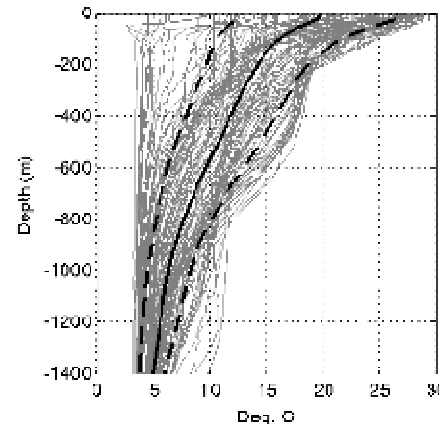
Heat is not evenly distributed in ocean

Heat is stored in reservoirs organized around fronts

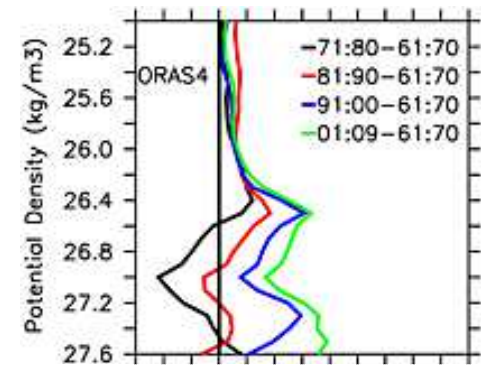
Heat changes are nonlinear, not uniform



Vertical mean temperature



Random sample of Argo temperature profiles



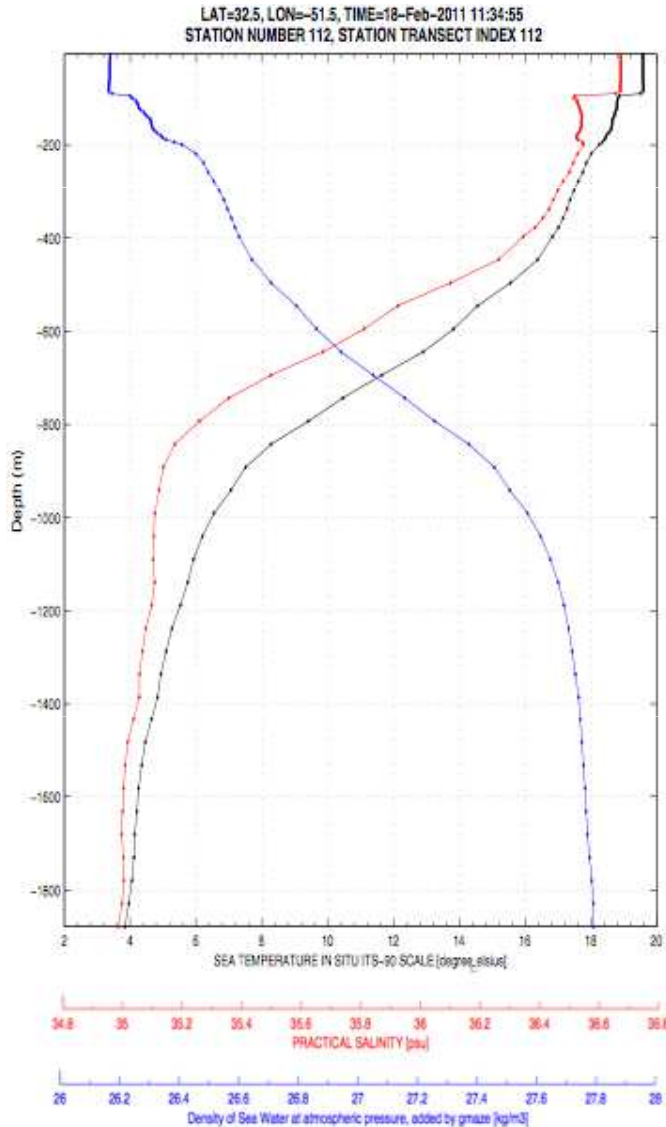
Hakkinen et al, GRL 2015

**How to identify interior heat structure and its variability ?**

# Problematic 2/2

## Diagnostics are not objective

### Argo profile sample



### Structure verticale complexe

Ce que l'opérateur identifie d'un clin d'oeil est très difficilement descriptible de manière objective:

- Profondeur de mélange ?
- Stratification principale ?
- Outliers ?

Diagnostiques complexes et peu performants

Arbres de décision construit "à la main"

Paramètres inadéquates

Large barre d'erreur

Nécessite temps opérateur

# Identifying coherent structures or “patterns” in profiles

## Profile Classification Model with a Gaussian Mixture Modeling of the PDF

pattern = *typical* profile

*typical* profile = *recurrent* profile

*recurrent* profile = peak in the PDF

Classification methods have great properties:

- they can handle asymmetries
- they do not promote “homogeneous” patterns
- they can detect single/isolated patterns

It is a descriptive method to identify class of items so that items from a class are similar while being different from the items of the other classes.

It can be supervised (we know the classes)  
or un-supervised (we have to discover classes)

# Profile Classification Model

## with a Gaussian Mixture Modeling of the PDF

Decomposition of a PDF into a weighted sum of Gaussians:

$$p(\mathbf{x}|\mu, \Sigma) = \sum_{k=1}^K \lambda_k \mathcal{N}(\mathbf{x}|\mu_k, \Sigma_k)$$

K sets the number of class of profiles ( $K \geq \#$  of peaks)  
Each class is modeled with a multi-dimensional Gaussian density

We will classify profiles according to there probability  
to “belong” to a class in the PDF

In practice, given:

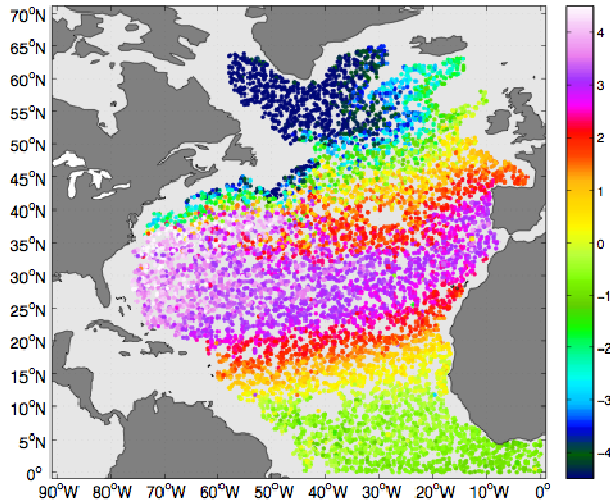
- a collection of data  $\mathbf{x}$  (N vectors of D-dimensions, N profiles of D levels)
- a number of class  $\mathbf{K}$

the analysis determines weights  $\lambda_k$  and Gaussian parameters  $\mu_k, \Sigma_k$  to maximize the (log) likelihood of the mixture.

The solving method is the “Expectation-Maximization” algorithm (not detailed here, see Bilmes, 1998)

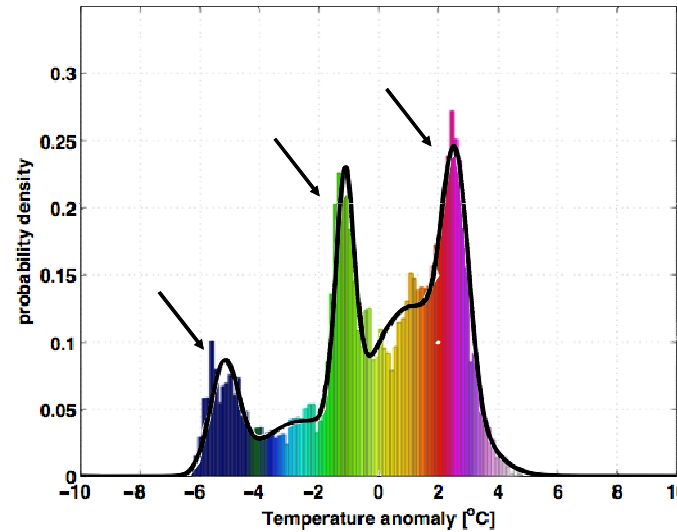
# Classification of profiles according to their vertical mean temperature, i.e. integral heat content

Argo profiles - 0/1400m

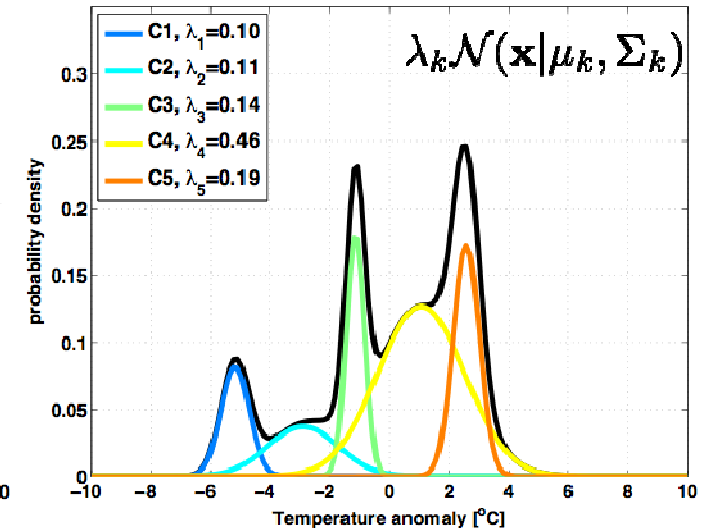


Vertical mean temperature / anom. vs domain average (~9°C)

Observed PDF vs Model PDF (GMM, K=5)

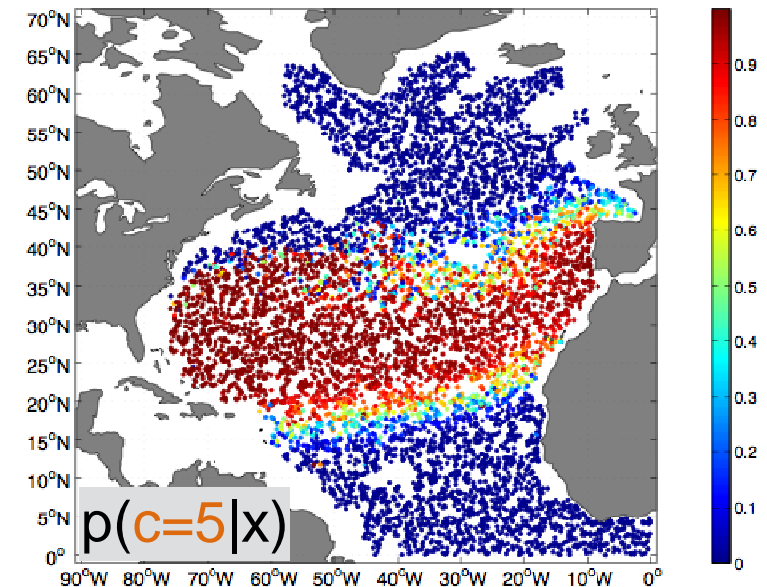


Details of K=5 GMM classes

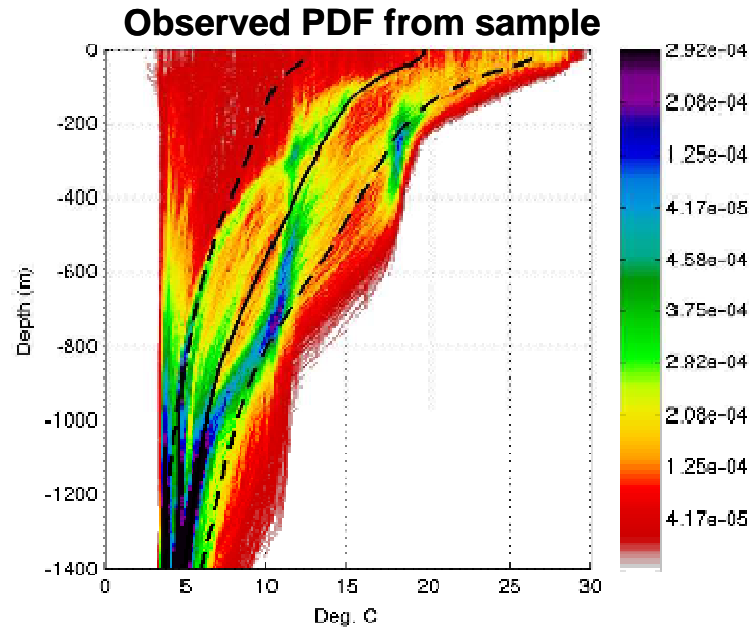
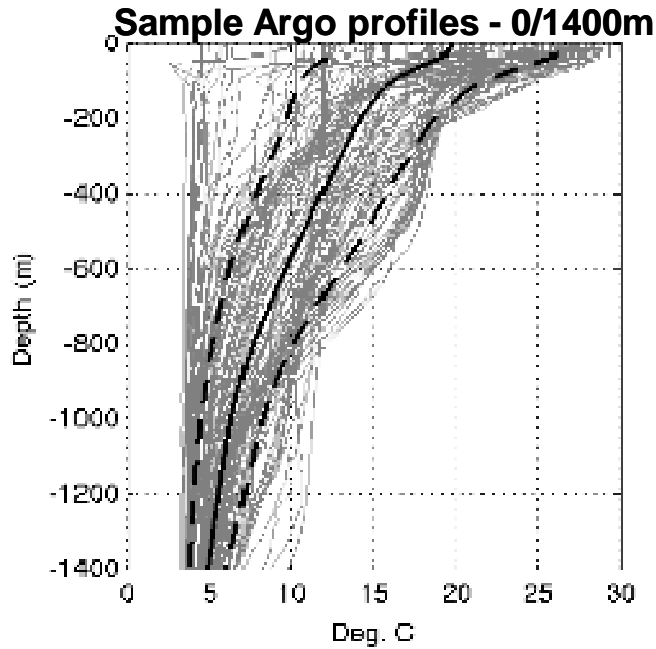


5 classes capture the essential PDF structure. In this trivial case, a class is defined by 1-D pair of  $\mu_k, \Sigma_k$

We can compute the probability for a profile to belong to the class k. This is the posterior probability  $p(c=k|x)$



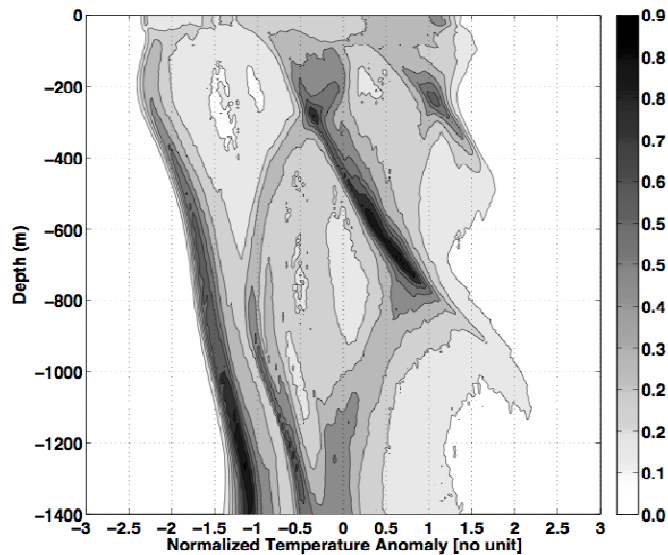
# Classification of profiles according to their vertical temperature structures



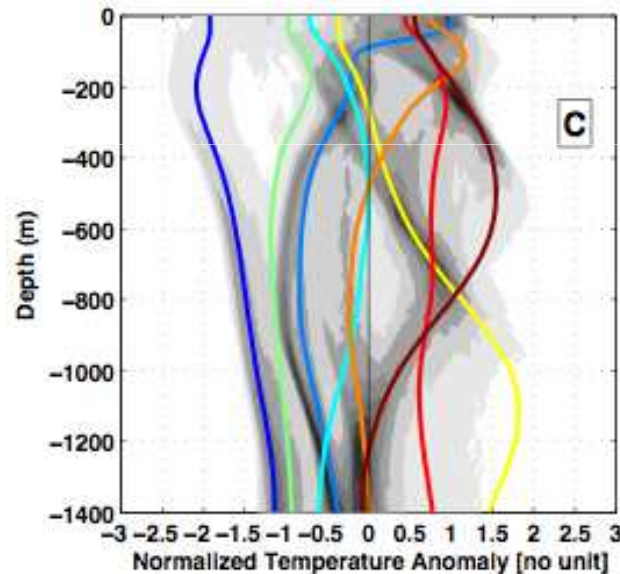
We fit several PCM to the PDF of profiles

K=8 classes capture the essential PDF structure

Complete Observed PDF (D-normalized)



K=8 GMM classes



- |          |          |
|----------|----------|
| Class #1 | Class #5 |
| Class #2 | Class #6 |
| Class #3 | Class #7 |
| Class #4 | Class #8 |

Class #1  
Homogeneously cold

Class #4  
Cold & surface spread

Class #3  
Near-neutral, large spread

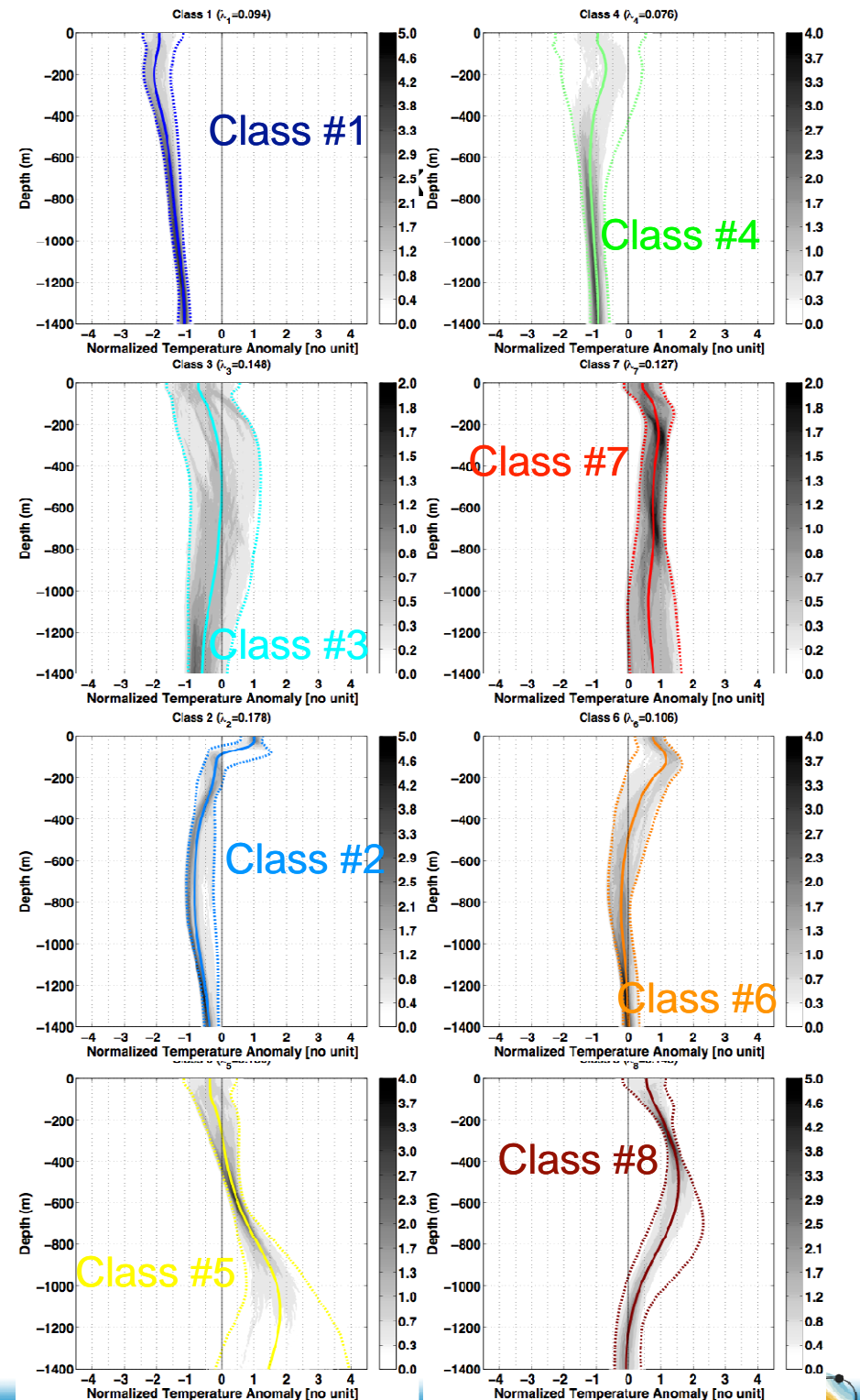
Class #7  
Homogeneously warm

Class #2  
Mid-depth cold & surface-trapped heat

Class #6  
Neutral at depth & warm sub-surface

Class #5  
Near neutral at the surface & warm at depth in the

Class #8  
Near neutral at surface and depth & warm at mid-depth  
in western su





Class #1  
Homogeneously cold

Class #4  
Cold & surface spread

Class #3  
Near-neutral, large spread

Class #7  
Homogeneously warm

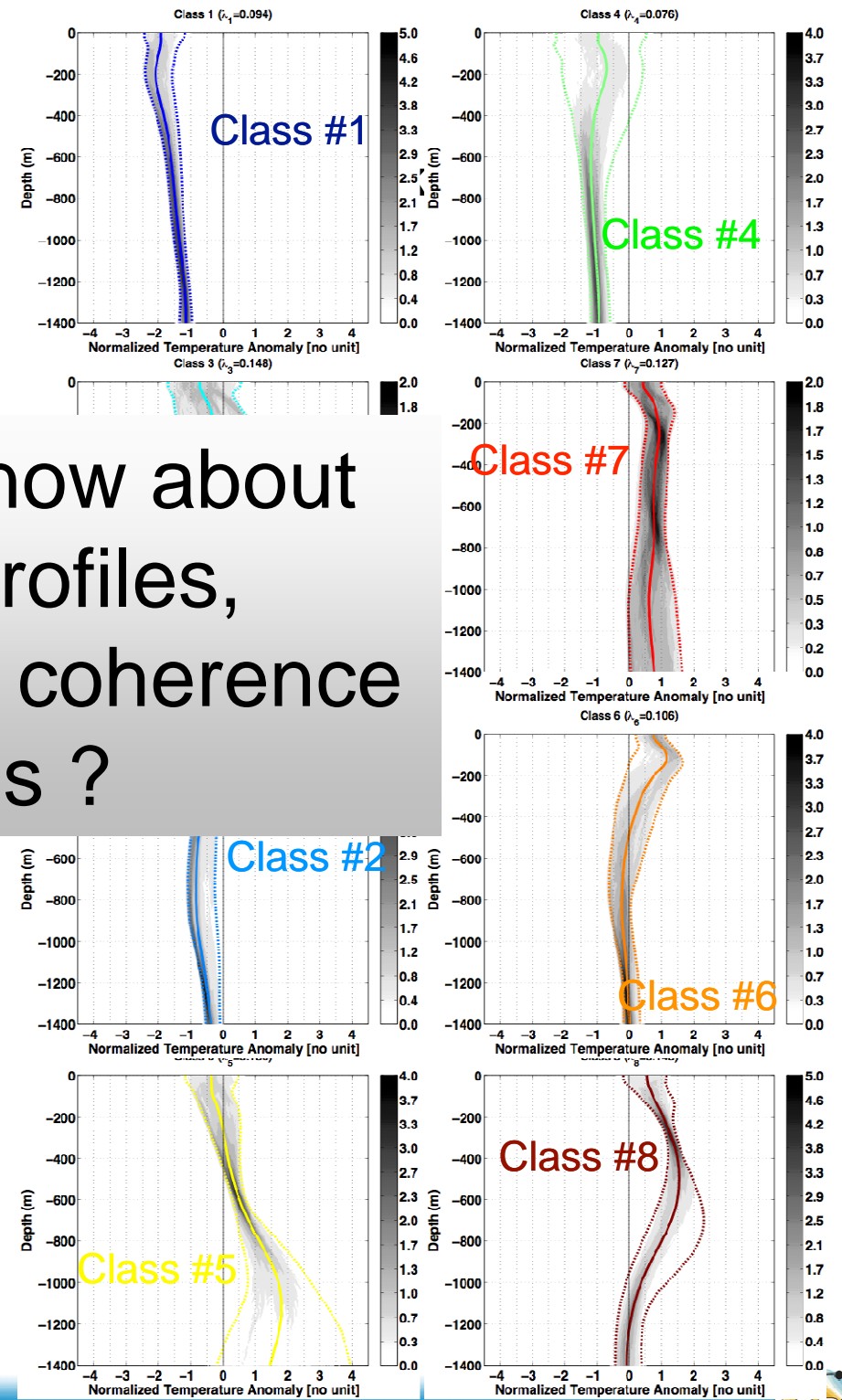
Class #2  
Mid-depth cold & surface

Class #6  
Neutral at depth & warm sub-surface

Class #5  
Near neutral at the surface & warm at depth in the

Class #8  
Near neutral at surface and depth & warm at mid-depth in western su

PCM doesn't know about location of profiles, is there a spatial coherence in classes ?



# Classification of profiles according to their vertical temperature structures

## Class #1

Homogeneously cold in the subpolar gyre

## Class #4

Cold & surface spread north of the GS / NAC

## Class #3

Near-neutral, large spread in the inter-gyre / GS / NAC

## Class #7

Homogeneously warm in south-eastern subtropical gyre

## Class #2

Mid-depth cold & surface-trapped heat in the equatorial band

## Class #6

Neutral at depth & warm sub-surface in the western tropical band

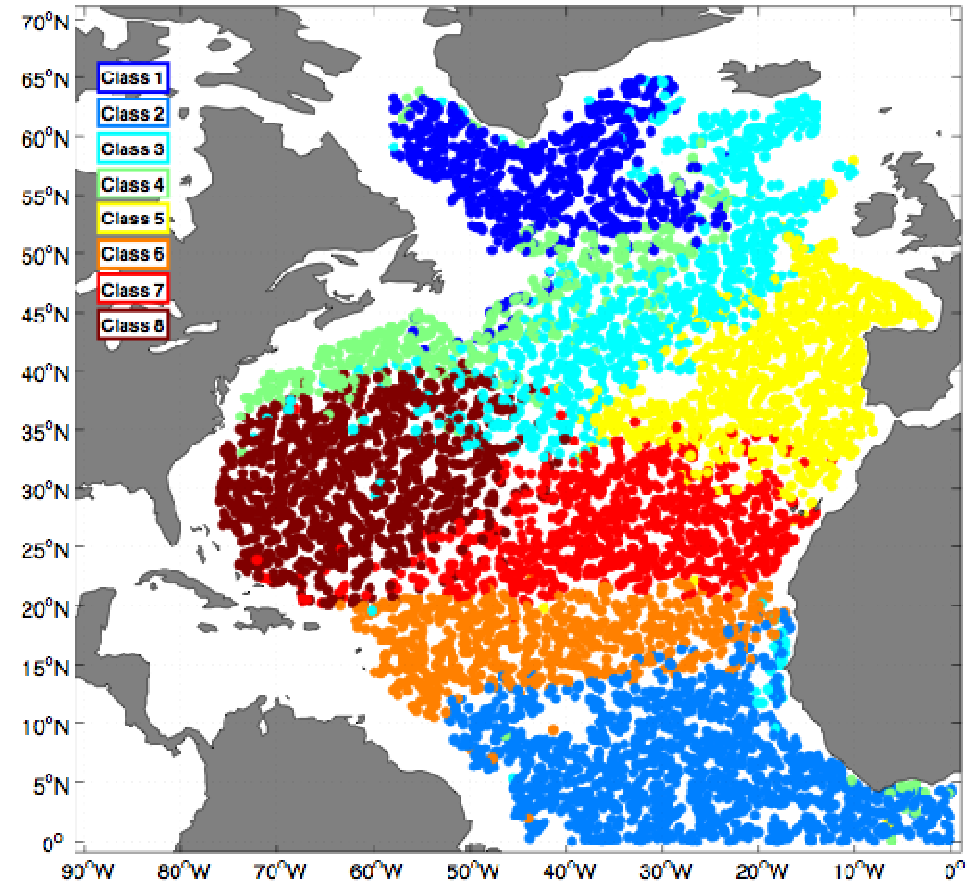
## Class #5

Near neutral at the surface & warm at depth in the Mediterranean outflow influence

## Class #8

Near neutral at surface and depth & warm at mid-depth in western subtropical gyre region

Each profile is *labelled* with the class # with maximum of probability  $p(c|x)$



**Patterns are spatially coherent: model of stack of water masses are unique**

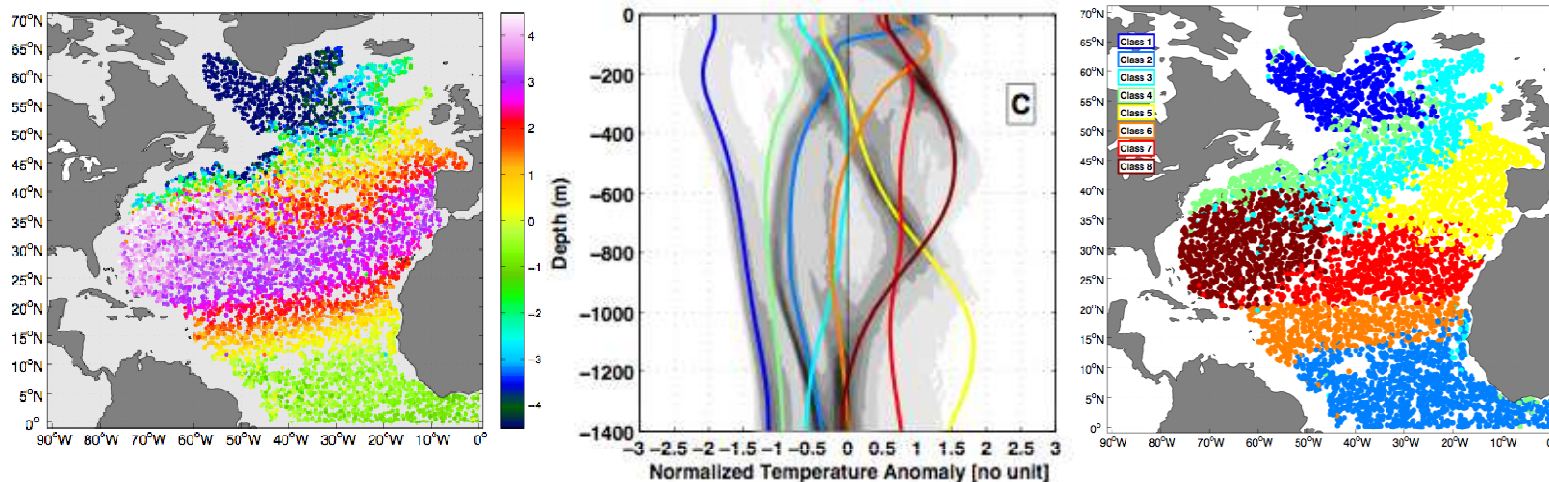
# Recap

Maze et al, 2016, Prog. Oc.

We used un-supervised classification method to identify coherent structures or “patterns” in a large collection of temperature profiles

Patterns are “data-driven models” of internal heat reservoirs

Patterns are spatially coherent: stack of water masses are unique

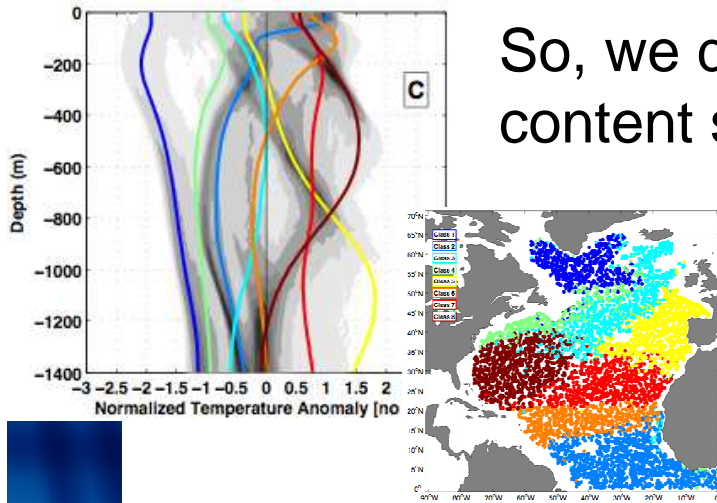


We call this a **Profile Classification Model (PCM)**

Model can be used to classify new data

Posterior probabilities  $p(c|x)$  provide a useful OHC decomposition

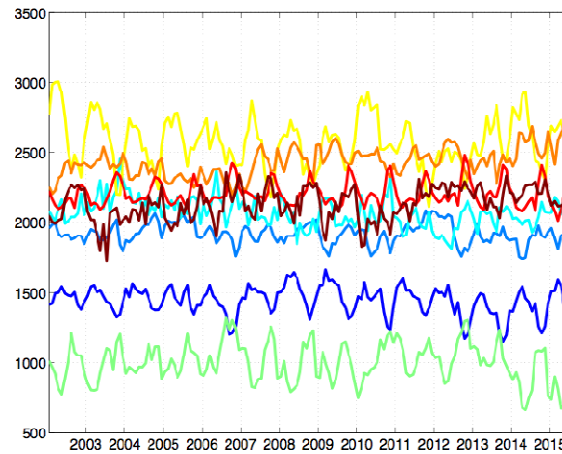
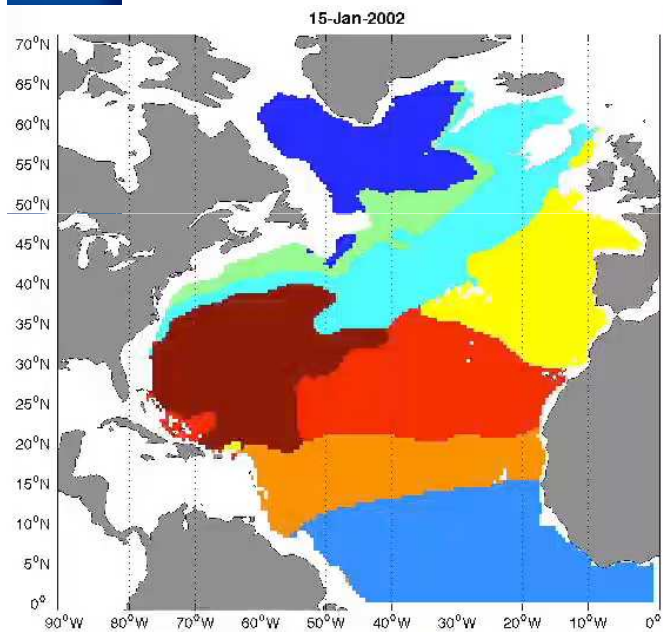
So, we derived a model for the heat content structure in the North Atlantic



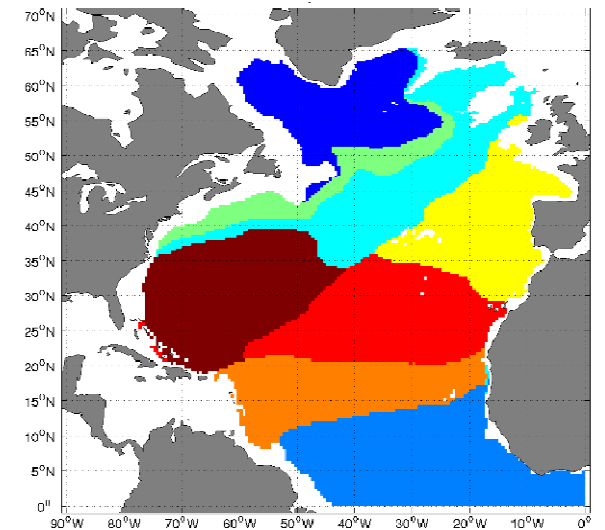
How can we use this model to characterize heat content variability ?

Oceanic re-analysis, ISAS-13 (Gaillard et al, J. Clim. 2016)  
2002-2015, monthly, 1/2x1/2, 0-2000m fields from Argo OI  
Take the Argo PCM and classify ISAS-3D gridded time series

12



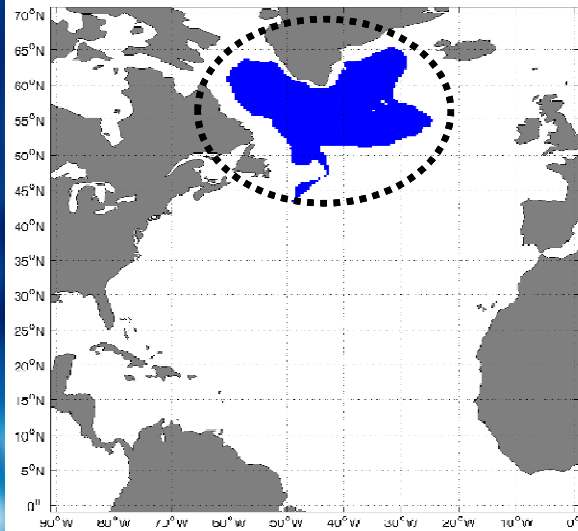
# grid points / class



locally most frequent class

# Class contours as “natural” regional boxes

Focus on the subpolar gyre  
Ocean Heat Content:



full OHC:

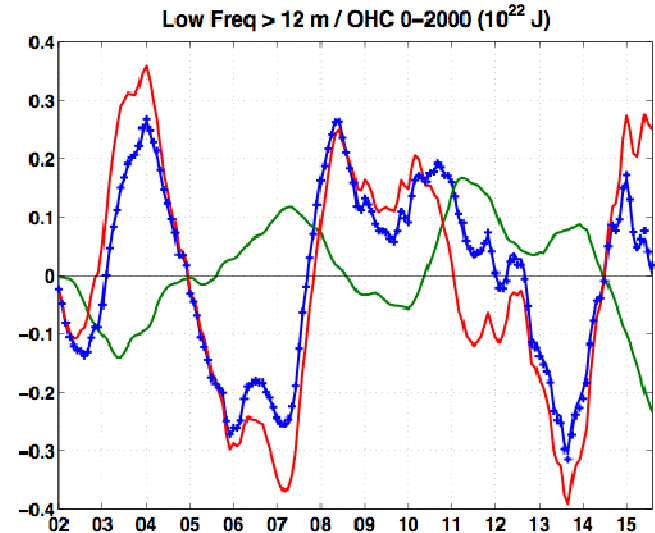
$$\iint_{x,y} \left( p(\mathbf{c}_k | \mathbf{x}) \int_{z=0}^{2000} \rho_0 c_p \theta(x, y, z) dz \right) dx dy$$

OHC with mean temperature / variable class contour:

$$\iint_{x,y} \left( p(\mathbf{c}_k | \mathbf{x}) \int_{z=0}^{2000} \rho_0 c_p \overline{\theta}(x, y, z) dz \right) dx dy$$

OHC with mean class contour / variable temperature:

$$\iint_{x,y} \left( \overline{p(\mathbf{c}_k | \mathbf{x})} \int_{z=0}^{2000} \rho_0 c_p \theta(x, y, z) dz \right) dx dy$$



13

PCM property: 
$$\sum_{k=1}^K p(\mathbf{c}_k | \mathbf{x}) = 1$$

for each grid point (profile), a fraction  $0 < p(\mathbf{c}_k | \mathbf{x}) < 1$  of OHC can be attributed to class  $k$  without losing heat

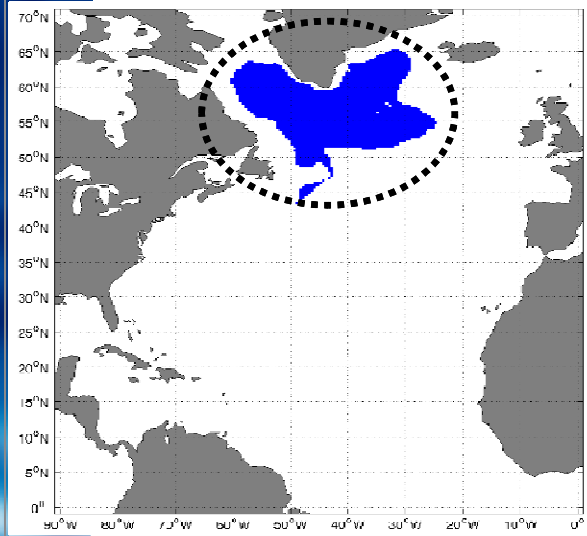
“subpolar gyre” OHC variability  
driven by its extent,  
modulated by local temperature variability

What’s in these signals ?



# Class contours as “natural” regional boxes

Focus on the subpolar gyre  
Ocean Heat Content:



full OHC:

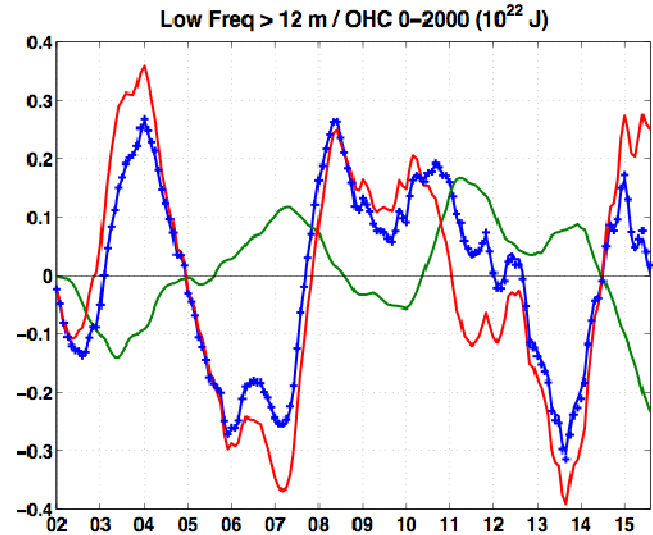
$$\iint_{x,y} \left( p(c_k|\mathbf{x}) \int_{z=0}^{2000} \rho_0 c_p \theta(x,y,z) dz \right) dx dy$$

OHC with mean temperature / variable class contour:

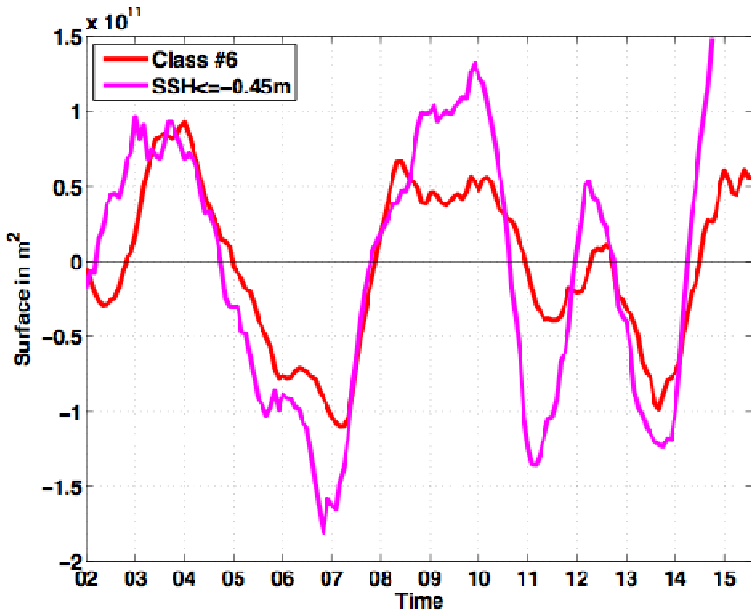
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OHC with mean class contour / variable temperature:

$$\iint_{x,y} \left( \overline{p(c_k|\mathbf{x})} \int_{z=0}^{2000} \rho_0 c_p \theta(x,y,z) dz \right) dx dy$$



## Class surface vs SSH contour extent

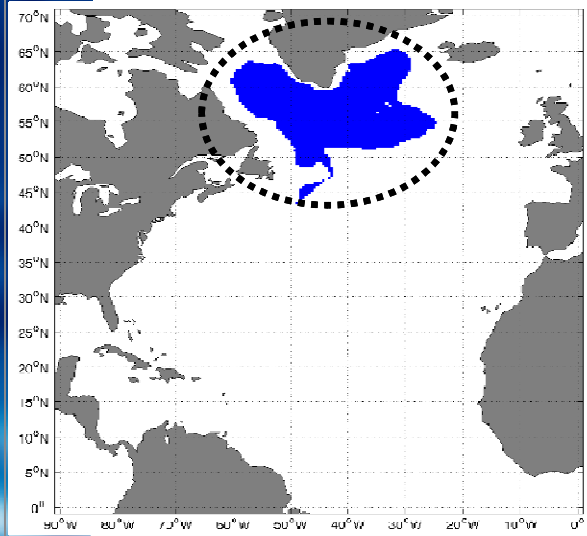


“Gyre” extent is dynamically constrained



# Class contours as “natural” regional boxes

Focus on the subpolar gyre  
Ocean Heat Content:



full OHC:

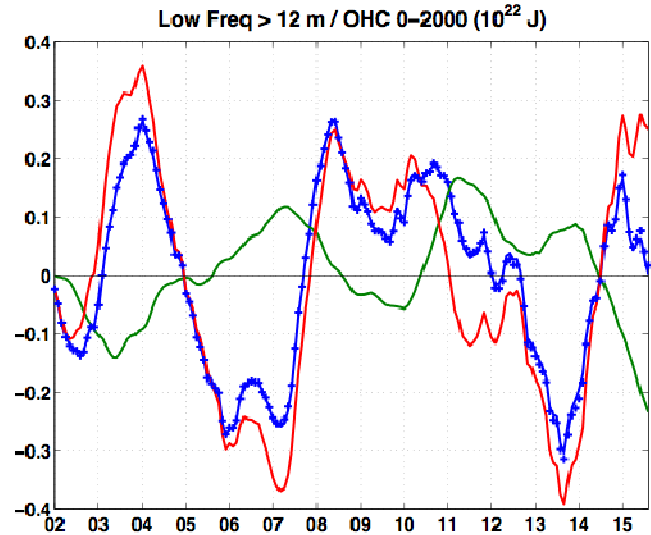
$$\iint_{x,y} \left( p(c_k|\mathbf{x}) \int_{z=0}^{2000} \rho_0 c_p \theta(x,y,z) dz \right) dx dy$$

OHC with mean temperature / variable class contour:

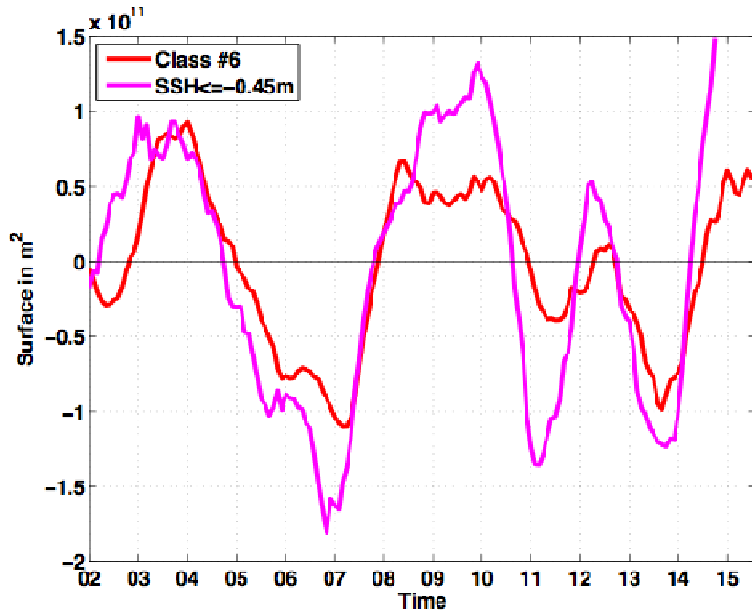
$$\iint_{x,y} \left( p(c_k|\mathbf{x}) \int_{z=0}^{2000} \rho_0 c_p \overline{\theta}(x,y,z) dz \right) dx dy$$

OHC with mean class contour / variable temperature:

$$\iint_{x,y} \left( \overline{p(c_k|\mathbf{x})} \int_{z=0}^{2000} \rho_0 c_p \theta(x,y,z) dz \right) dx dy$$

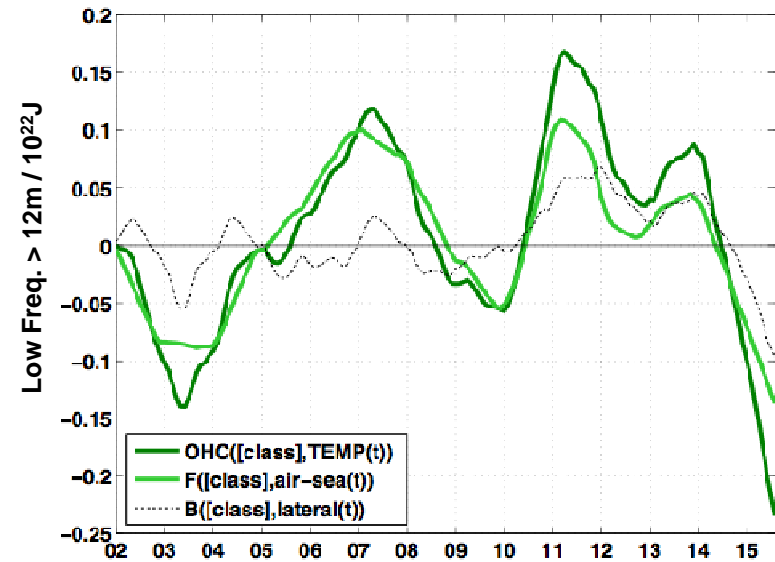


## Class surface vs SSH contour extent



“Gyre” extent is dynamically constrained

## Class temp. vs Air-sea Flux



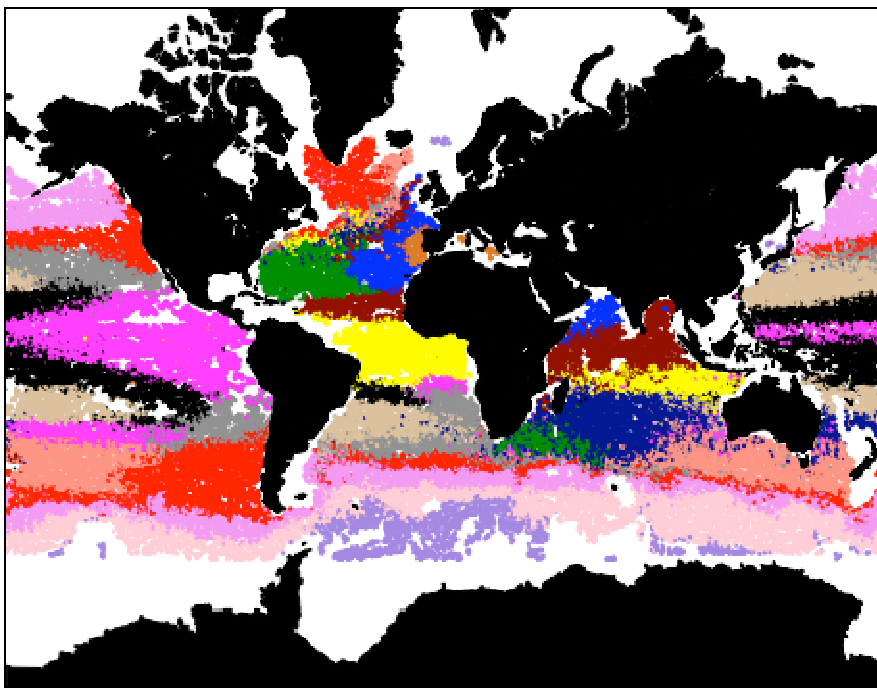
“Gyre” temperature driven by Air-sea heat fluxes



# Mining larger datasets



fast and general engine for large-scale data processing at LOPS-Cersat



**Développement en cours: portage de la méthode PCM sur cluster big data**

**ANR SONIFIC à soumettre**



16

x10

here: 15 years, N.Atl.:  $0.1 \times 10^6$  profiles

x10

All Argo: 15 years, global:  $1.5 \times 10^6$

ORA-S4: 50 years, monthly, global 1/1 gridded:  $26 \times 10^6$

ISAS13+nrt: 13 years, monthly, global 1/2 gridded:  $43 \times 10^6$

HadGEM: 140 years, monthly, global 1/1 gridded:  $92 \times 10^6$

x10

ORCA025: 40 years, weekly, global 1/4 gridded:  $1\,400 \times 10^6$

CMIP5: 50 years, monthly, global 1/1 gridded, 50 runs:  $1\,500 \times 10^6$

DRAKKAR12: 20 years, weekly, global 1/12 gridded:  $6\,400 \times 10^6$

x10

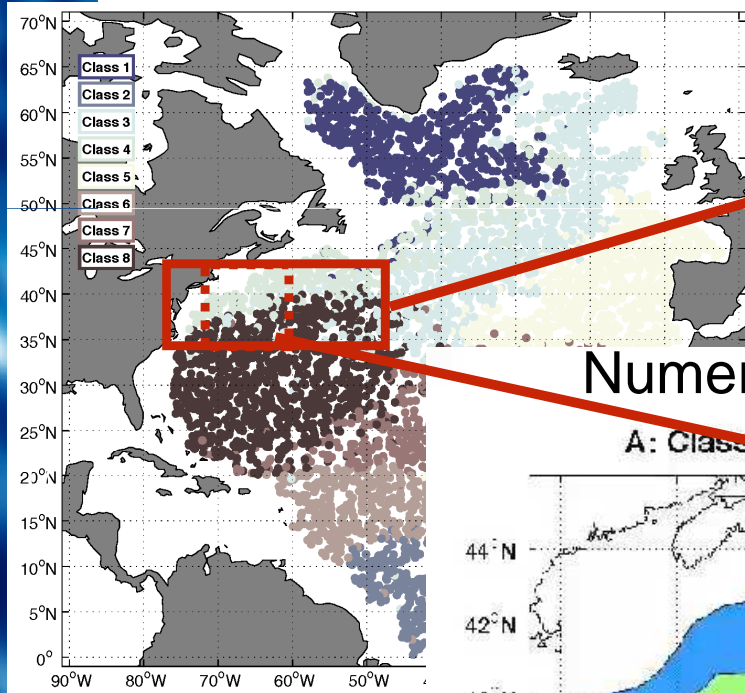
OCCIPUT: 50 years, weekly, global 1/4 gridded, 50 runs:  $8\,900 \times 10^6$



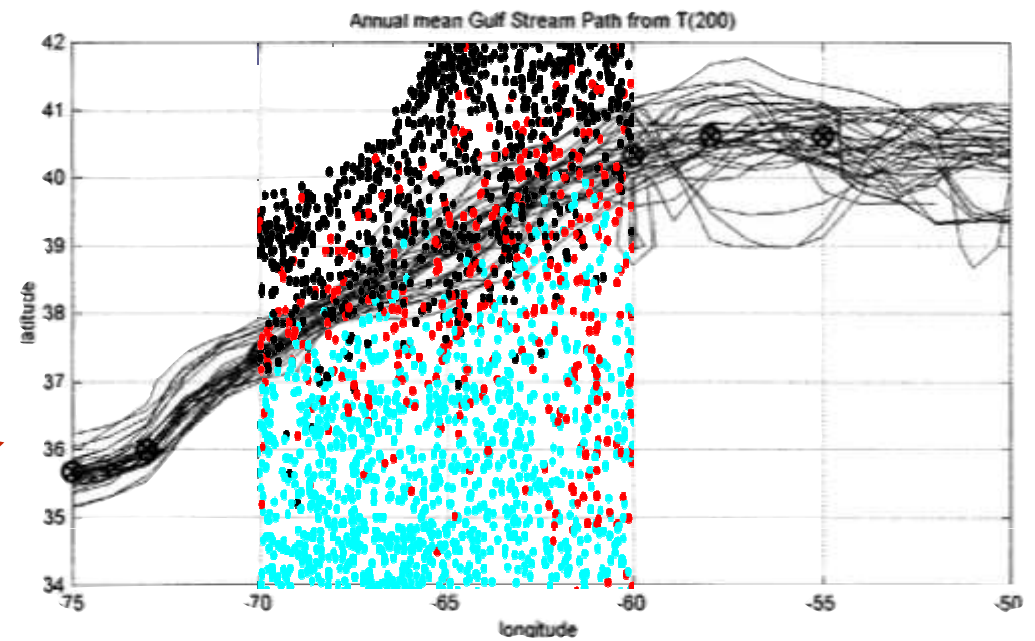
ifremer



In frontal regions, a PCM is very efficient in identifying profiles from flanks and the core:



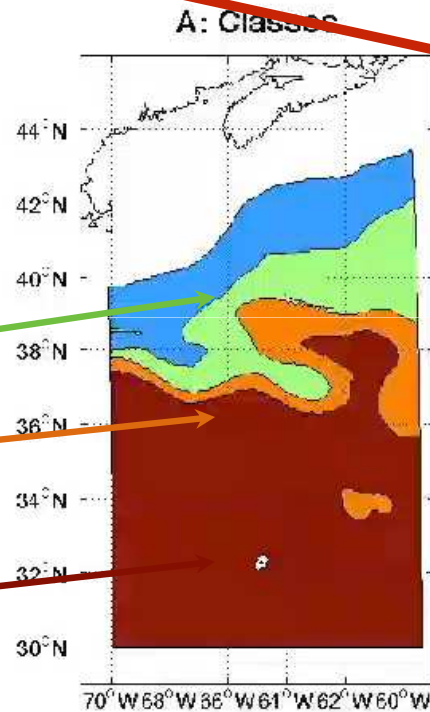
Argo



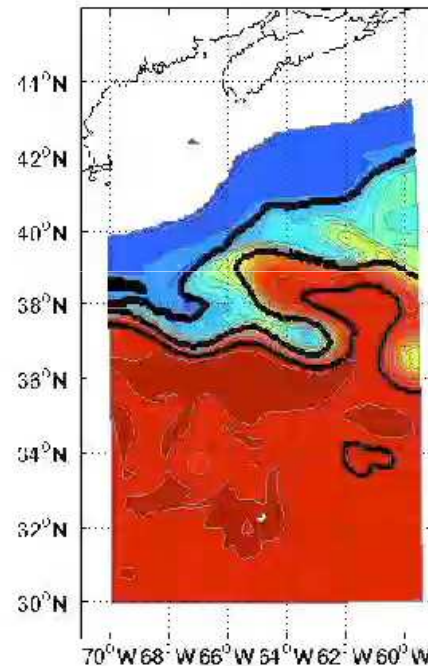
Numerical Simulation

LOPS  
ifmer

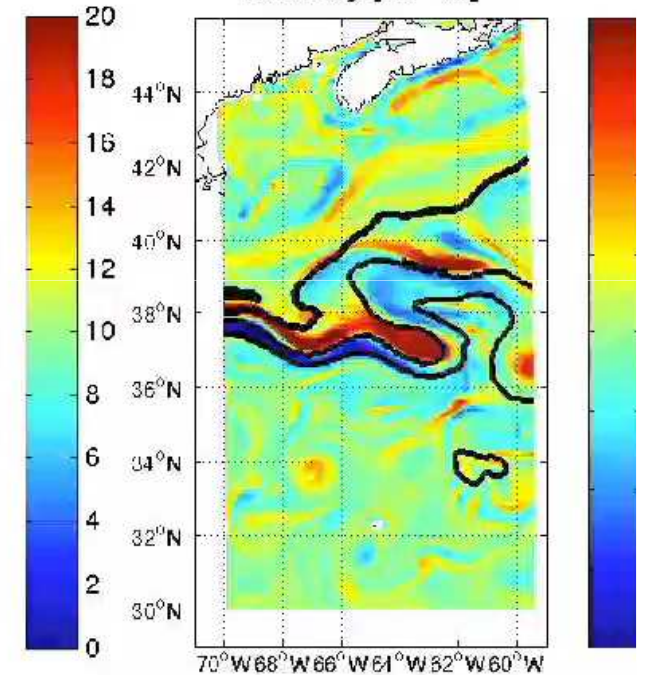
Cold flank  
GS core  
Warm flank



B: Temperature at 300m [degC]



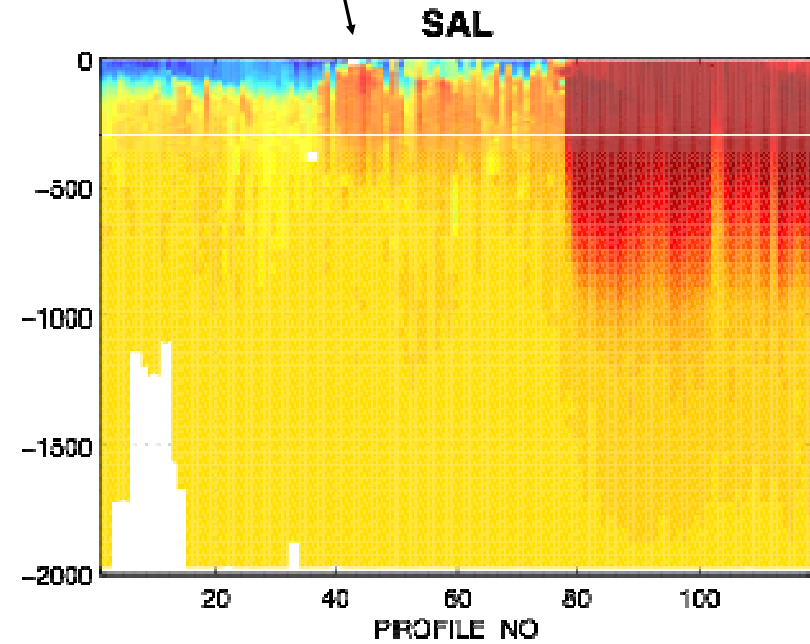
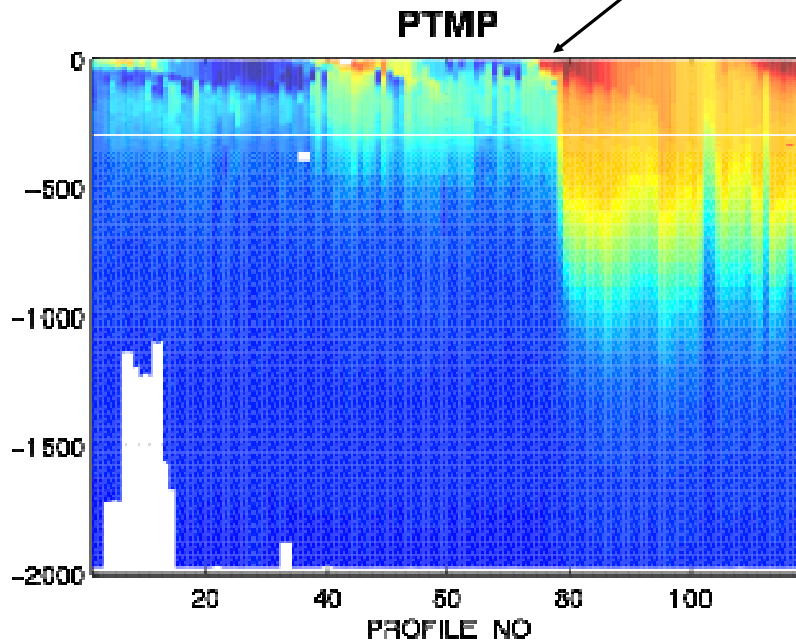
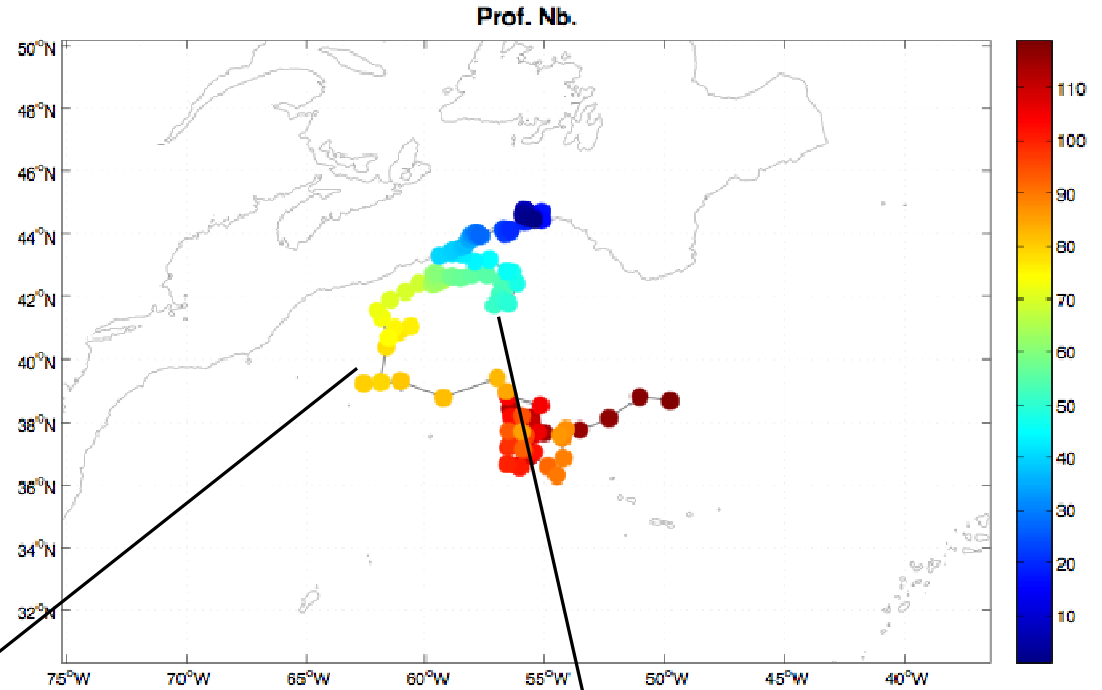
C: Surface relative vorticity [ $10^{-5}/s$ ]



# Improved referencing for in-situ data validation

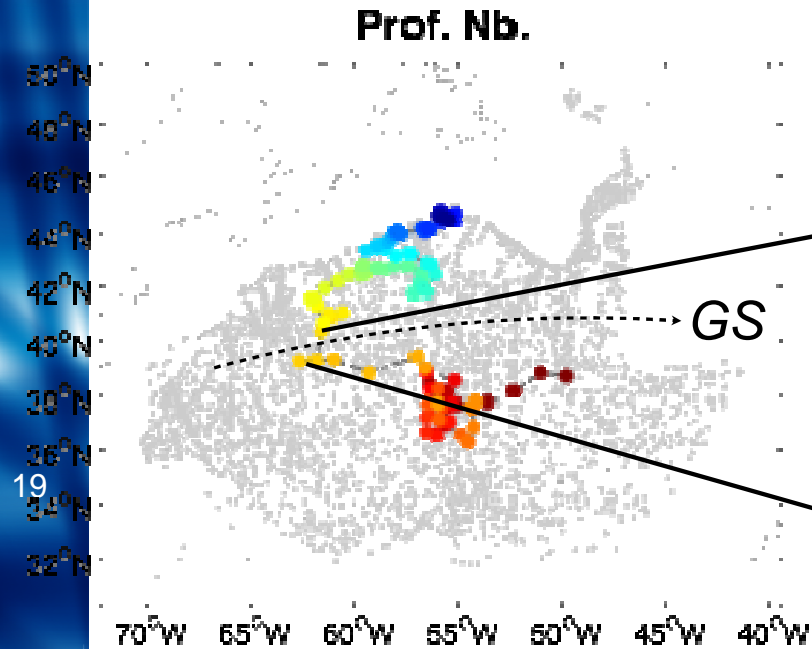
eg: Argo Float 4900136

goes near the Gulf Stream at cyc. #50  
and through it at cyc. #80

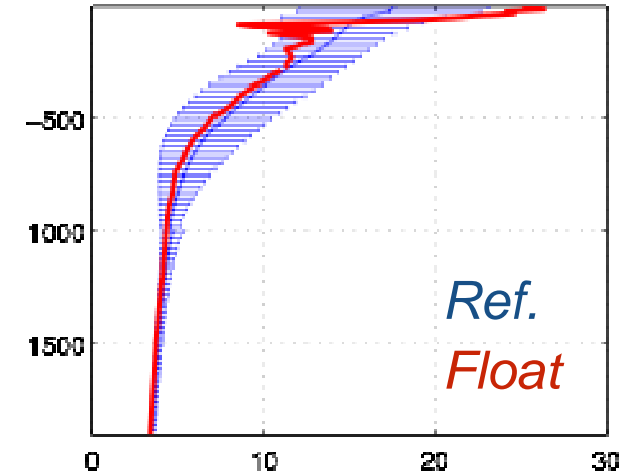


# Improved referencing for in-situ data validation

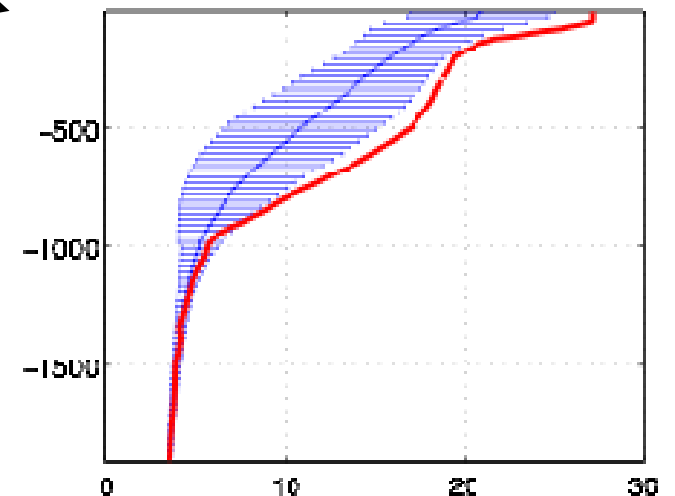
Classic Dist. weighted ref. mean/std  $L_x=300\text{km}; L_y=L_x/2$



Prof #77 / Class #1  
Classic Ref. (314 profiles)



Prof #79 / Class #2  
Classic Ref. (290 profiles)

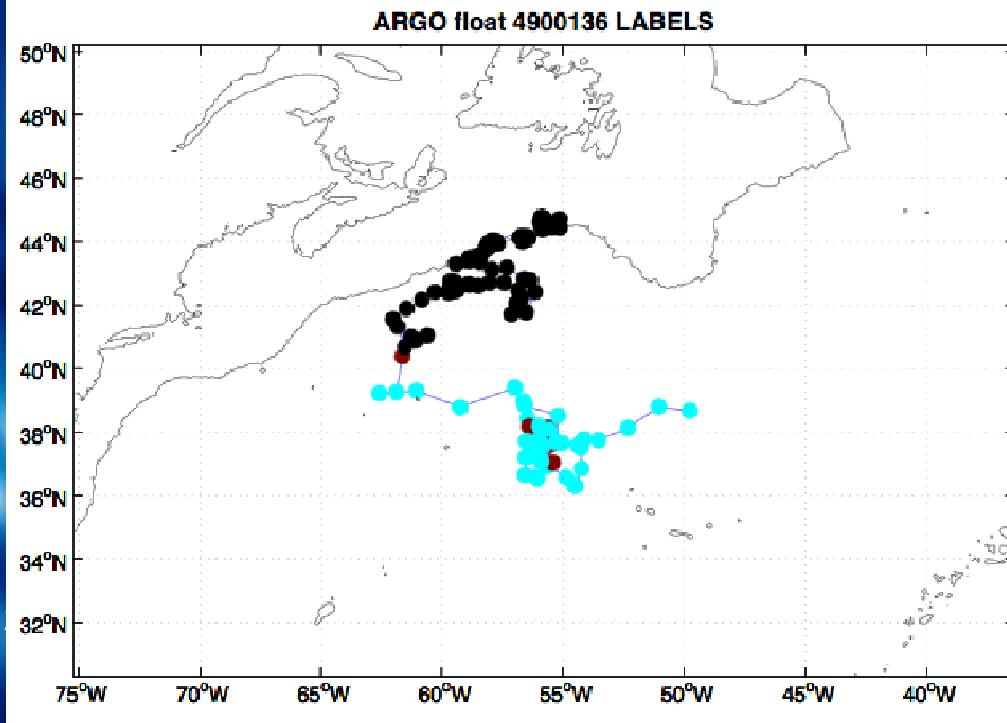


## Argo Ref. and CTD database

Profiles selected in the pre-processing of the modified OW method (Cabanès et al, 2016)

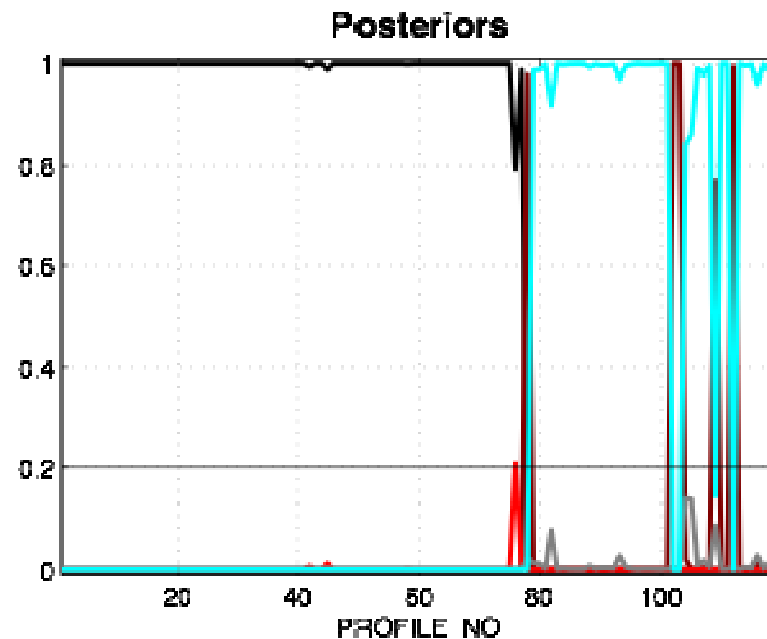


# Improved referencing for in-situ data validation

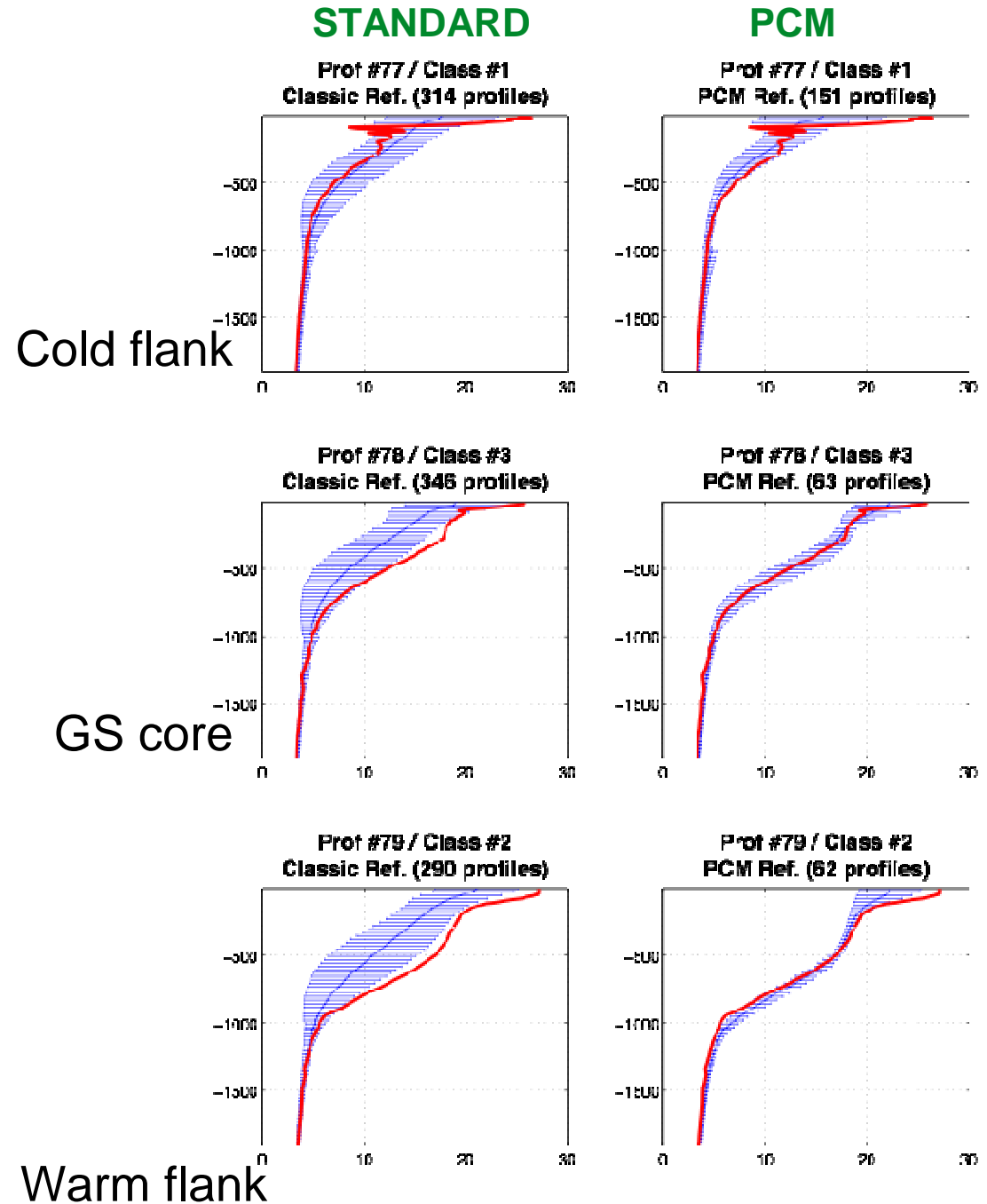
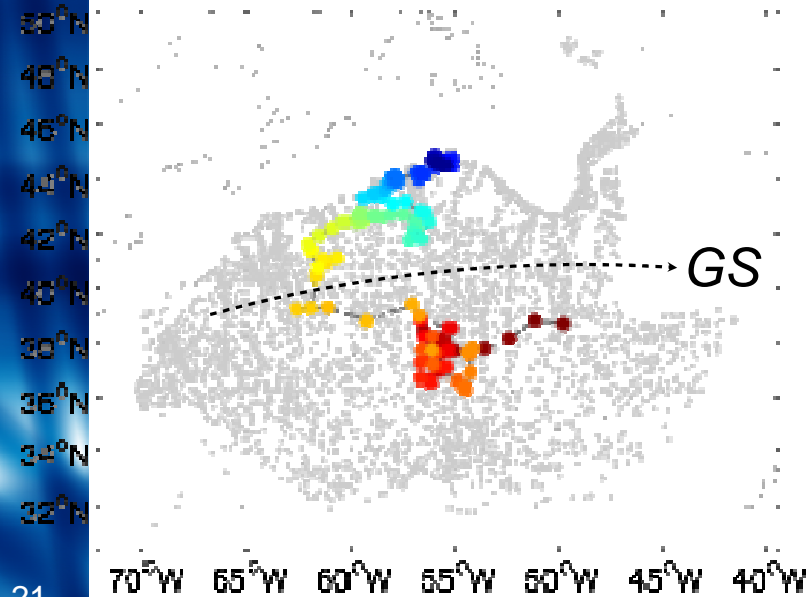


We train a PCM on the reference database and classify the Argo float profiles to validate

The classification is able to determine where, with regard to the front core, the profile is located



# Improved referencing for in-situ data validation

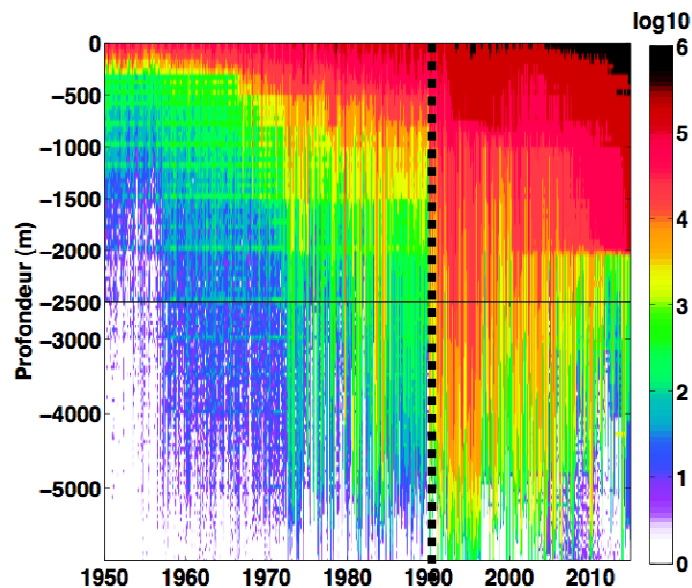
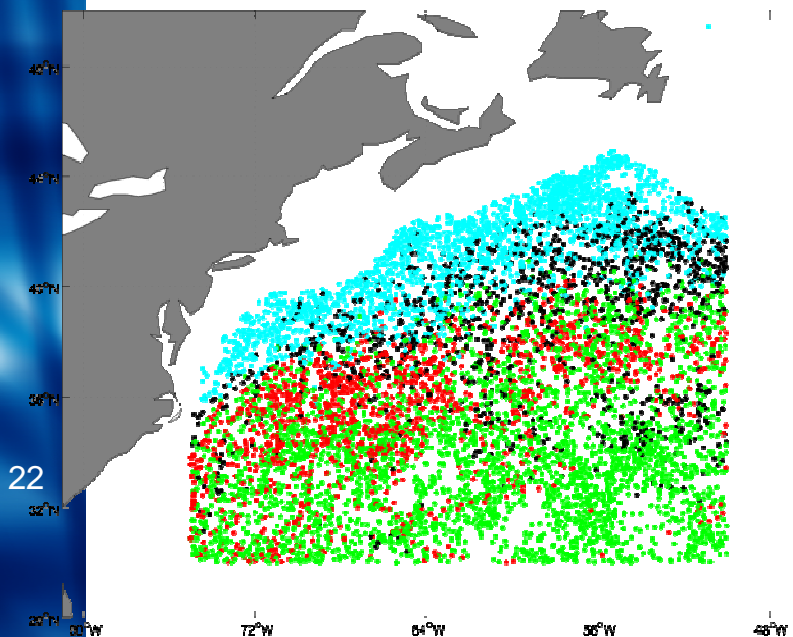


Reference stats are more appropriate:  
quality control is improved



# A new perspective on WBC variability

Evolution depuis 1950 du nombre de mesures océaniques acquises à différentes profondeurs, par tranche de 50m (source: base de données in situ CORA4.1)



← ? → start of altimetry for WBC monitoring



Ifremer

**The PCM method could improve the WBC long term diagnostic because (i) it does not rely on altimetry and (ii) does not “blur” signals with mapping**

**Application to the CORA datasets in progress ...**

## Suite:

- global, variabilité, WBC, QC
- Projets ANR SONIFIC & INSU/LEFE SOMOVAR avec TB
- 2017 “2nd International workshop on Data Science & Environment: Focus on Oceanography”

# A new perspective on WBC variability

Indications for poleward shift and/or intensification of WBC

WBC warming faster than the rest of the ocean

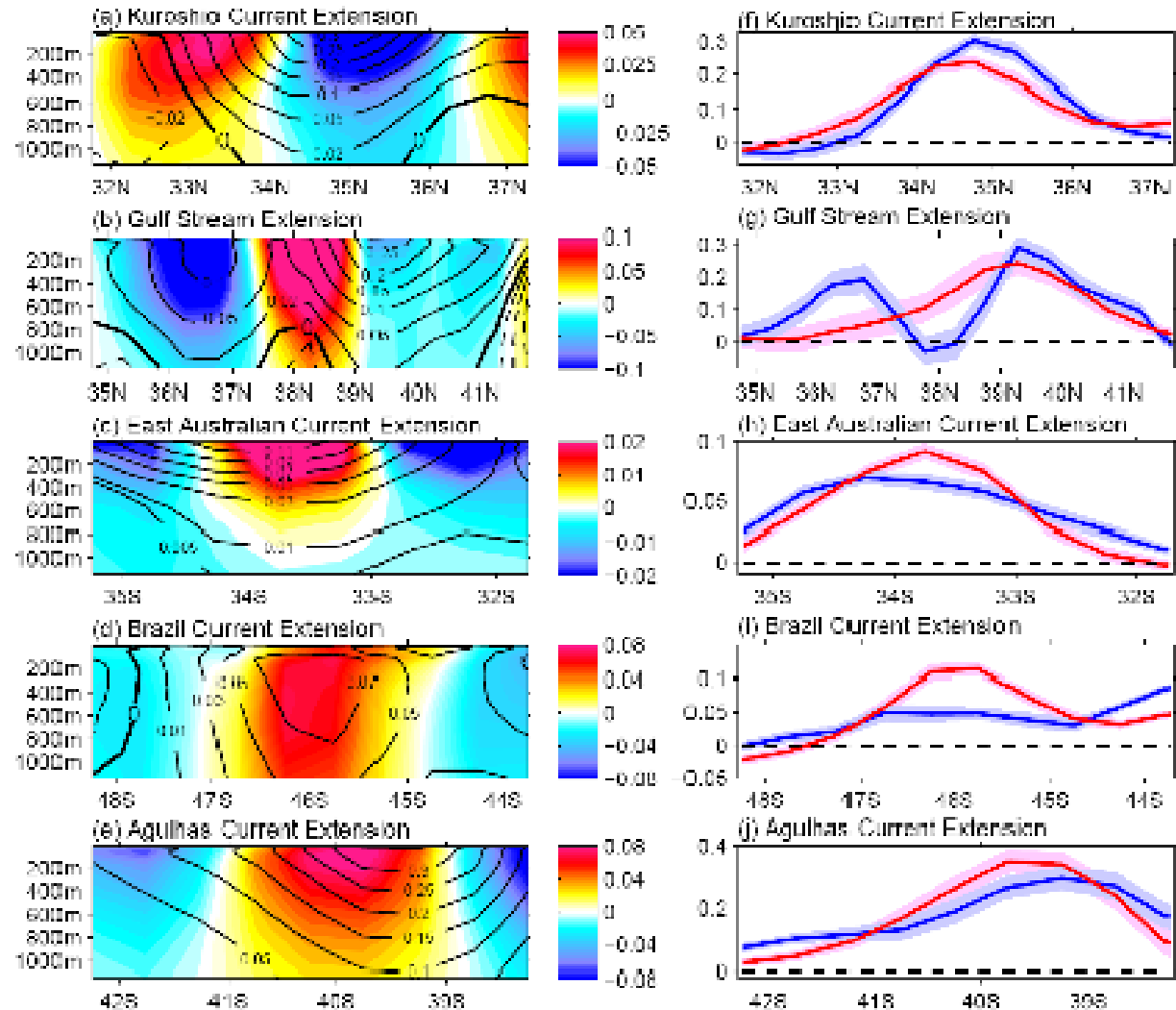
*“However, uncertainties in detection and attribution of these warming trends remain, pointing to a need for a long-term monitoring network of the global western boundary currents and their extensions.”*

24



ifremer

Can a PCM help ?



[U] m/s  
 contours: mean  
 color: trend

1950-2008  
 SODA  
 Wu et al, Nat. 2012

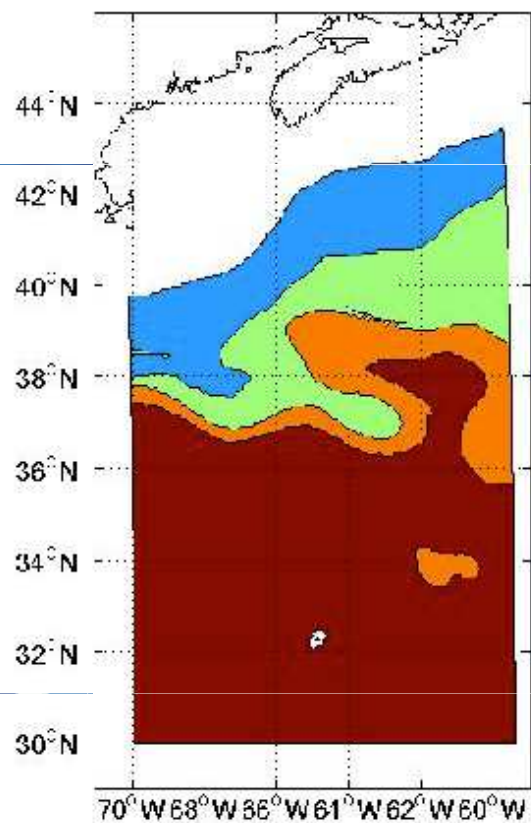
[U-0/500] m/s  
 red: mean  
 blue: trend



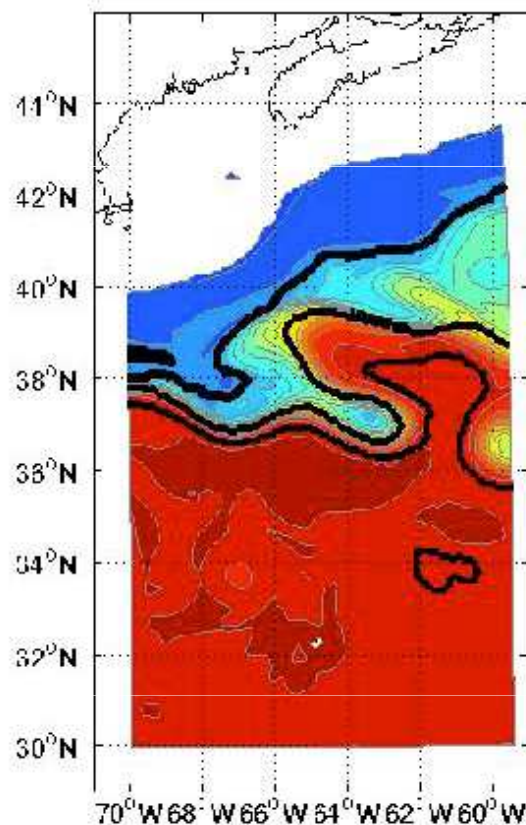
# A new perspective on WBC variability

1/12 *DRAKKAR* model simulation, 3 years of 5-days mean

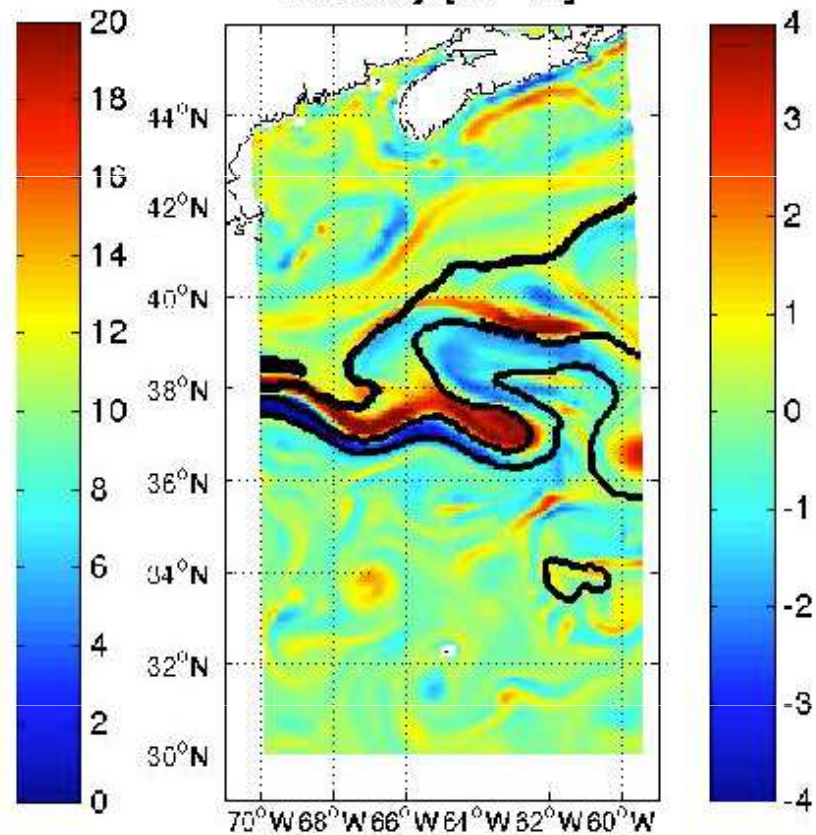
A: Classes



B: Temperature at 300m [degC]



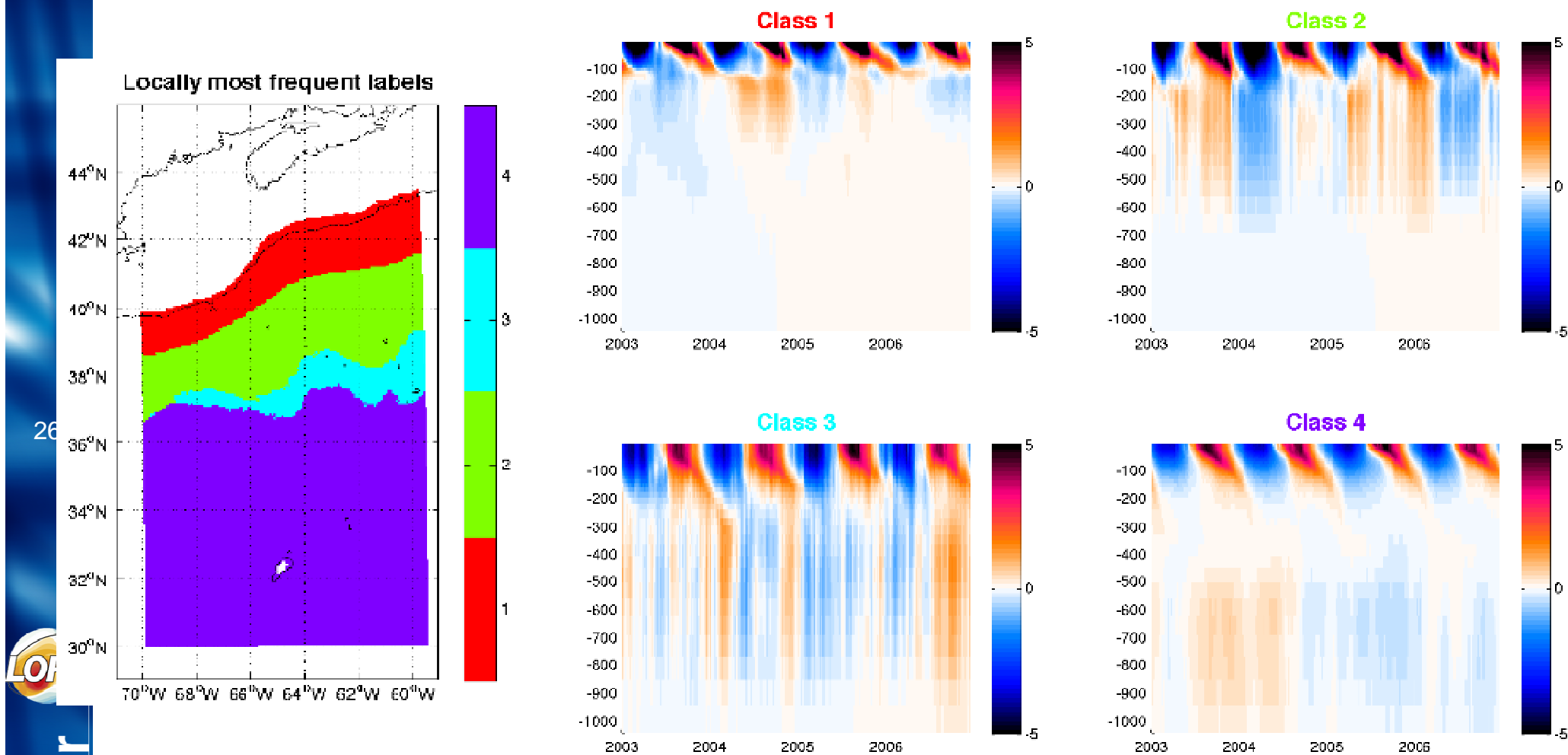
C: Surface relative vorticity [ $10^{-5}/s$ ]



*We can derive time series of profiles for each class*

# A new perspective on WBC variability

1/12 *DRAKKAR* model simulation, 3 years of 5-days mean



*We can derive time series of profiles for each class*